Second Supplement to AXPO U.S. LLC's Application for a Natural Gas Marketer Certificate of Authority, Docket No. 56293

1. Under section **IV. 515-7-3-.03(2)(k)(1-14): FINANCIAL CAPABILITY** of the application, please provide a signed parental guarantee of $5 million. Axpo US LLC may use its written format to provide the guarantee.

   ***See* Exhibit F. Information contained in this exhibit is in the accompanying Trade Secret response filed via the Commission's alternative electronic filing procedures.**

2. Please provide an Axpo organizational chart in support of **Exhibit B** in the application, to identify the names and titles of the parties affiliated with the Applicant.

   ***See* Exhibit B-1. Information contained in this exhibit is in the accompanying Trade Secret response filed via the Commission's alternative electronic filing procedures.**

3. Regarding **Exhibit E** in the filed application, please provide the resume of the Managing Director, Senior Counsel, and the Director Head of Operations in Commodities Operations/Scheduling, including relevant education, work experience, and professional qualifications.

   ***See* Exhibit E-1. Information contained in this exhibit is in the accompanying Trade Secret response filed via the Commission's alternative electronic filing procedures.**

4. In response to Exhibit B provided in the first NOI, Axpo US LLC provided a list of thirteen (13) potential owners of the overall parent company, Axpo Holding AG who have a percentage share of the company. Please answer the following:

   a. Do these thirteen (13) represent the complete list owners? If so, are there entities or individuals that can be identified as the owners? If not a complete list, please list all owners.

      Response: The thirteen entities on the organizational chart represent the complete list of owners.

   b. What is the meaning of the term "Canton" in context to private ownership of Axpo Holding AG?

      Response: A Canton is an administrative division of a country, similar to a county or province. In Switzerland, the cantons are semi-sovereign states within Switzerland.

   c. Does Axpo Holding AG have a Board of Directors that leads Axpo Holding AG? If not, how are decisions made by the owners to meet strategic goals of the overall organization?

<u>Response</u>: Yes, Axpo Holding AG has a Board of Directors. The directors are Thomas Sieber, Stephan Kuhn, Martin Baumle, Martin Keller, Stefan Kessler, Peter Kreuzberg, Samuel Leupold, Katja Pluto, and Jakob Stark. Please note that these board members do not perform executive functions within Axpo Group.

d. What does Axpo Solutions AG provide to the organizational Chart compared to AGL Holding AG?

<u>Response</u>: Axpo Solutions AG is Axpo U.S. LLC's direct parent company, overseeing the trading and sales businesses. Axpo Holding AG is the ultimate parent of Axpo U.S. LLC and all other business lines.